

About Borel and almost Borel embeddings for \mathbb{Z}^d actions

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May, Descriptive Dynamics and Combinatorics Seminar at
McGill University

In this talk we will report results with Tom Meyerovitch (2020), ongoing work with Spencer Unger and some open questions.

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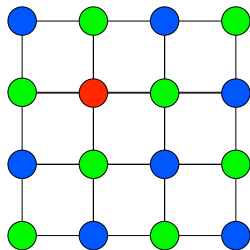
We want to understand the assumptions on the dynamical system (X, T) which implies that it is 'universal'.

By 'universal' we mean that 'any' free system (Y, S) (with low enough entropy) can be Borel embedded into (X, T) .

In this talk we focus on colouring of actions as an example.

Chromatic number

The chromatic number of a graph is the minimum number of colours required to properly colour the graph.



The chromatic number of \mathbb{Z}^d is 2.

Chromatic number of an action

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In other words in what is the minimum k such that we can partition $X := \sqcup_{i=1}^k X_i$ into Borel sets such that if $x \in X_j$ then the neighbours of x are in $\cup_{i \neq j} X_i$.

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Suppose $X := X_1 \sqcup X_2$ such that if $x \in X_1$ then $T^{\vec{e}}(x) \in X_2$ for all unit vectors \vec{e} .

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This would mean that if μ is an invariant measure for the action then $\mu(X_1) = \mu(X_2) = 1/2$ and both X_1 and X_2 are invariant under T^2 . Hence T^2 is not ergodic.

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Kechris, Solecki and Todorcevic (1999) had showed that the chromatic number is it is between 2 and $2d + 1$.

Gao and Jackson (2015) showed that it is between 2 and 4.

Theorem (Chandgotia & Unger, and by Gao, Jackson, Krohne & Seward)
The chromatic number of a free \mathbb{Z}^d action on a Polish space is either 2 or 3.

We will now see a sketch of the proof.

We start with a theorem by Rokhlin.

Theorem (Rokhlin 1948 for $d = 1$ / Katznelson & Weiss 1972 for $d > 1$)

Let (X, T) be a free \mathbb{Z}^d action and $\epsilon > 0$ and $n \in \mathbb{N}$. Then there exists $A \subset X$ such that

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$$\mu(\bigsqcup_{\vec{e} \in [1, n]^d} T^{\vec{e}}(A)) > 1 - \epsilon$$

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How can we properly colour the space using this?

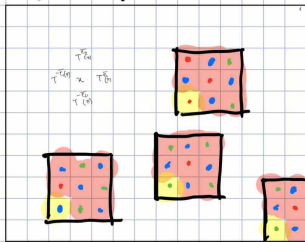
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$$\mu(\bigcup_{\vec{a} \in [1, n]^d} T^{\vec{a}}(A)) > 1 - \epsilon$$

for all invariant measures μ .

$\bigcup_{\vec{a} \in [1, n]^d} T^{\vec{a}}(A) = B$
 $\forall \mu \text{ a.e. } x \in X,$
 Orbit of x .



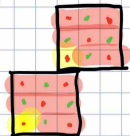
● Elements of A
 ● Elements of B \setminus A

B covers about $(1 - \epsilon)$ proportion of orbit.

Now each element of B can be assigned a colour.

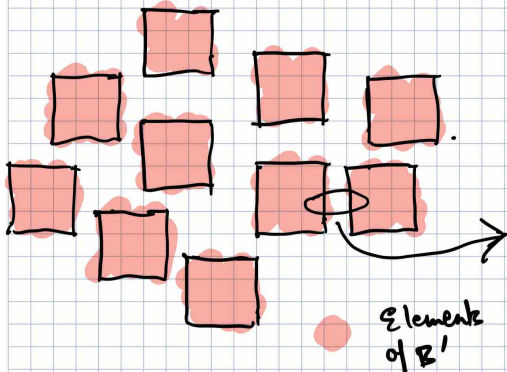


Some of these colours may clash on the boundary



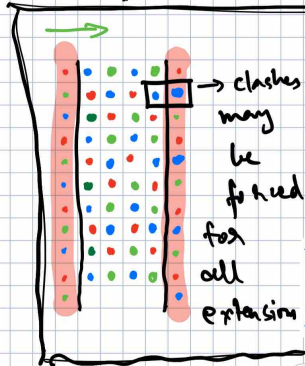
So we colour $B' = \bigcup_{\vec{a} \in [1, n-2]^d} T^{\vec{a}}(A)$

But now we want to extend the colouring to entire space.

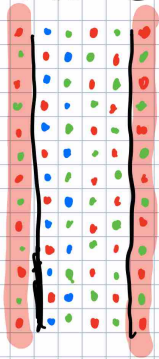


Elements
of B'

The Issue

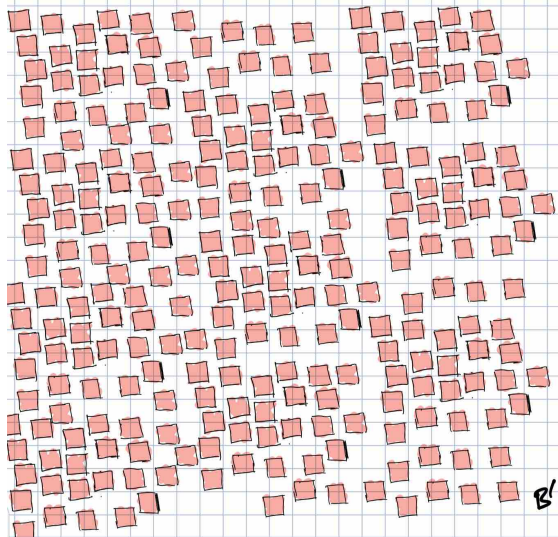


We need to colour the boundary
of the boxes carefully.-



Checkerboard patterns on the boundary
always extend.

So now we have a colouring of B'



We now choose

$$n_1 \gg \gg n$$

$$\varepsilon_1 \ll \ll \varepsilon$$

and find

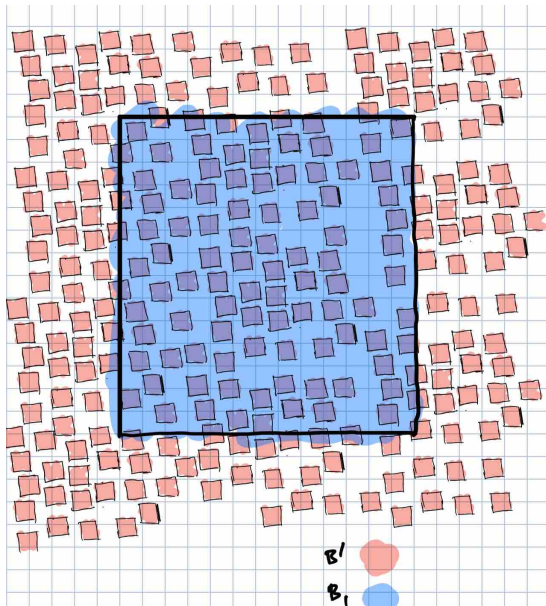
A_1 st

$$\mu(\bigcup_{\bar{e} \in [1, n_1]^d} T_{\bar{e}}^{A_1})$$

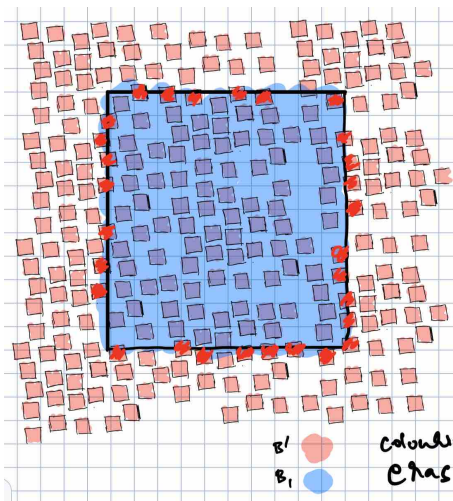
$$> 1 - \varepsilon_1$$

$$B'_1 = \bigcup_{\bar{e} \in [3, n_1 - 2]^d} T_{\bar{e}}^{A_1}$$

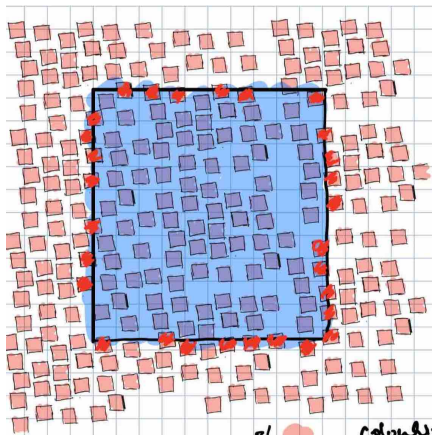
B' 




We want to extend the colouring to B_1 .
So we erase the colours on B_1' close to boundary



Expand the colony
on the boundary.
And now extend
the remaining
colony to B_1
Continue in this
manner.



B_i' 
 B_i 

colouring
 erased. 

If we choose the
 parameters carefully
 the erased parts
 will have small
 measure, say
 $\mu(\text{erased colouring})$
 at step i
 $= \varepsilon_i$

If $\sum \varepsilon_i < \alpha$

by Borel-Cantelli

the colouring will
 be erased only
 finitely many times μ -a.s.



Şahin-Robinson's colouring

Given a \mathbb{Z}^d action (X, T) , a set is called a **full set** if $\mu(X') = 1$ for invariant probability measures μ .

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The answer is yes.

Given a \mathbb{Z}^d action (X, T) , by its **entropy**, we mean the Gurevic entropy, that is, the supremum of the measure theoretic entropy on the space.

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You can assume that it is some measure of size / complexity of the action (X, T) .

Theorem (Chandgotia, Meyerovitch 2021)

Let (X, T) be a free \mathbb{Z}^d action of entropy less than the space of 3-colourings. There exists a full set $X' \subset X$ which can be embedded into the space of proper 3-colourings in an equivariant manner.

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Question

Prove that the space of proper 3-colourings is universal, that is, there is no need to get rid of null set to obtain an embedding.

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Our results built upon techniques developed by Hochman (2013), who proved the same result for the full shift in one dimension (strengthening the result for Krieger-1972).

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Şahin and Robinson (2002) proved universality for certain systems assuming certain mixing conditions (which is not satisfied by the systems given above).

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For the space of domino tilings we needed an estimate which was known in $d = 2$ due to Kastelyn (1968) and was recently proved by me for $d > 2$.

What combinatorial estimate do we need?- A major open question

Consider a set of rectangles T_1, T_2, \dots, T_p such that

$\gcd(\text{dimension of } T_i \text{ in the } k\text{th direction}; 1 \leq i \leq p) = 1$ for all k .

Let N be the product of the side lengths. We need to compare perfect tilings of a Nk -box and tilings without any boundary restriction.

Question

Prove that

$$\lim_{k \rightarrow \infty} \frac{\log \#(\text{perfect tilings of a } [1, Nk]^d)}{\log \#(\text{tilings of a } [1, Nk]^d)} = 1.$$

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- ④ (X, T) is space with non-uniform specification.

With the last item we were answering a question by Quas and Soo (2012) who proved this with some additional hypothesis.

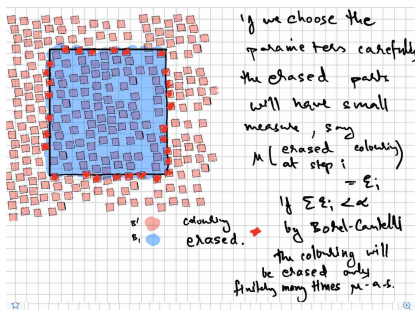
A nice corollary of our work is the following:

Theorem (Chandgotia, Meyerovitch 2021)

A generic homeomorphism (with respect to the sup-metric) of any manifold of dimension > 1 is almost universal.

We believe that adjective almost is unnecessary.

What problems are encountered getting rid of the 'almost'?



We needed that all most every point of the space X belongs to at most finitely many boundaries of Rokhlin towers.

This no longer holds in the Polish setting.

Why can't we use Rokhlin towers directly?

Theorem (Gao, Jackson and Krohne, 2015)

Let $d \geq 2$ and (X, T) be a \mathbb{Z}^d minimal dynamical system such that the subsystem with respect to $\mathbb{Z} \times \{0\}^{d-1}$ is also minimal.

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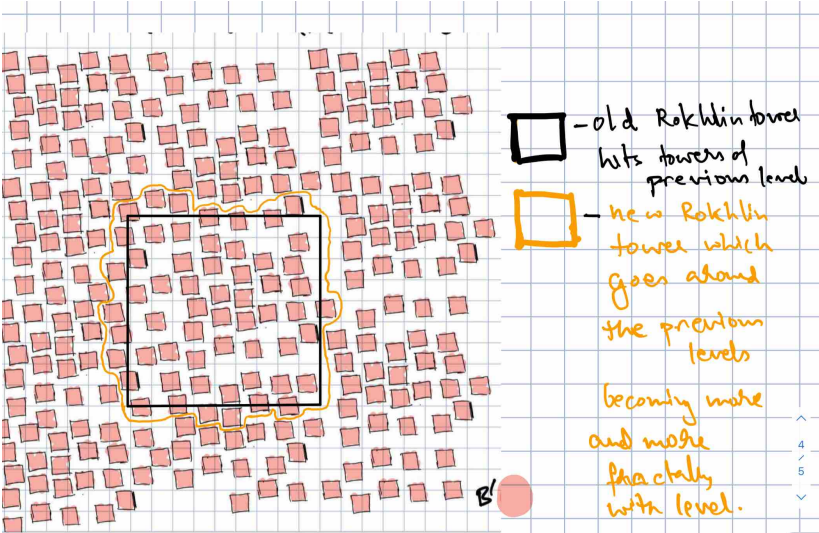
Then the set

$$\{x \in X : x \in \partial B_n \text{ for infinitely many } n\}$$

is comeager.

Gao, Jackson and Seward also suggested a workaround. A proof of this can be found in a paper by Marks and Unger.

Gao, Jackson and Seward's walkaround



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Again our methods are general enough to show that we can find a factor from any free Polish \mathbb{Z}^d action (X, T) to:

- ① The space of tilings by rectangles (under some natural necessary conditions).
- ② The space of directed bi-infinite Hamiltonian paths.

The first result extends results of Gao and Jackson who need additional assumptions on the rectangles. It answers question raised by Gao, Jackson and Seward.

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The second result recovers a result announced by Gao, Jackson, Krohne & Seward. Under presence of an ergodic measure this result was announced by Downarowicz, Oprocha & Zhang.

But can we get no embedding results?

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Theorem (Tserunyan 2015)

Let (X, T) be the action of a countable group with no invariant probability measures then it can be embedded in the full shift over 32 symbols.

Theorem (Hochman 2019)

Let (X, T) be the action of \mathbb{Z} with no invariant probability measures then it can be embedded in any shift of finite type.

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Our methods are completely different from the previous proofs of such results but restricted to symbolic spaces.

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Theorem (Gao & Jackson 2015)

A continuous 3-colouring of the free part of the 2-full shift does not exist (but a 4-colouring does).

Theorem (Salo, 2021)

There is no continuous embedding of the space of proper 3 colourings into the 2 full shift.

Open questions

- ① Prove that there exists universal subshifts whose entropies form a dense set in \mathbb{R} .

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- ② Let (X, T) and (Y, S) be dynamical systems. Suppose there is a bijection ϕ from the space of invariant ergodic probability measures on (X, T) to those on (Y, S) such that (X, μ, T) is isomorphic to $(Y, \phi(\mu), S)$.

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- ② Let (X, T) and (Y, S) be dynamical systems. Suppose there is a bijection ϕ from the space of invariant ergodic probability measures on (X, T) to those on (Y, S) such that (X, μ, T) is isomorphic to $(Y, \phi(\mu), S)$. Is (X, T) isomorphic to (Y, S) (up to universally null sets)?

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